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POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM

Application Number	09/884,696
Filing Date	June 19, 2001
First Named Inventor	Lisle W. George
Title	MORAXELLA BOVIS CYTOTOXIN, CYTOXIN
Art Unit	1645
Examiner Name	Virginia Allen Portnet
Attorney Docket Number	407J-000700US

I hereby appoint:

☒ Practitioners associated with the Customer Number:

OR

☒ Practitioner(s) named below:


22798

PATENT TRADEMARK OFFICE

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☒ The address associated with the above-mentioned Customer Number:

OR

☐ The address associated with Customer Number:

OR

☒ Firm or Individual Name Quine Intellectual Property Law Group, P.C.

Address P.O. Box 458

Address

City Alameda

State CA

Zip 94501

Country United States

Telephone (510) 337-7871

Fax (510) 337-7877

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Name Linda S. Stevenson, Manager, Patent Prosecution

Signature *Linda S. Stevenson*

Date November 25, 2003

Telephone (510) 587-6000

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ *Total of _____ forms are submitted.

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or Printed Name Juliana Hermes

Signature *Juliana Hermes*

Date

December 3, 2003



16
Linda
1-6-04

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant: Lisle W. George, et al.
Application No.: 09/884,696 Filed: June 19, 2001
Entitled: **MORAXELLA BOVIS CYTOTOXIN, CYTOXIN GENE, ANTIBODIES AND VACCINES FOR PREVENTION AND TREATMENT OF MORAXELLA BOVIS INFECTIONS**

The Regents of the University of California, a University
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application identified above by virtue of either:

A. [X] An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 011985, Frame 0372, or for which a copy thereof is attached.
OR

B. [] A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

[] Additional documents in the chain of title are listed on a supplemental sheet

[X] Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

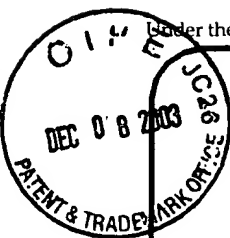
November 25, 2003.
Date

Linda S. Stevenson
Signature

Linda S. Stevenson
Typed or printed name

Manager, Patent Prosecution
Title

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

9

Application Number

09/884,696

Filing Date

June 19, 2001

First Named Inventor

Lisle W. George

Group Art Unit

1645

Examiner Name

Virginia Allen Portnet

Attorney Docket Number

407J-000700US

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Response

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/ Incomplete Application

☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition

☐ Petition to Convert to a Provisional Application

☒ Power of Attorney, Revocation Change of Correspondence Address

☐ Terminal Disclaimer

☐ Small Entity Statement

☐ Request for Refund

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Additional Enclosure(s) (please identify below):

receipt acknowledgment postcard

Authorization to Charge Deposit Account

Please charge Deposit Account No. 50-0893 for any additional fees associated with this paper or during the pendency of this application, including any extensions of time for consideration of the documents enclosed.

Remarks

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Jonathan Alan Quine, Reg. No. 41,261, Quine Intellectual Property Law Group, P.C.

Signature

Jonathan Alan Quine

Date

December 3, 2003

CERTIFICATE OF MAILING

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Typed or printed name

Juliana Hermes

Signature

Juliana Hermes

Date

December 3, 2003

TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
481.06

In Re Application Of: **LISLE W. GEORGE, ET AL.**

Serial No.
N/A

Filing Date
JUNE 19, 2001

Examiner
N/A

Group Art Unit
N/A

Title: **MORAXELLA BOVIS CYTOTOXIN, CYTOTOXIN GENE, ANTIBODIES AND VACCINES
FOR PREVENTION AND TREATMENT OF MORAXELLA BOVIS INFECTIONS**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

1. TRANSMITTAL LETTER;
2. RECORDATION FORM COVER SHEET;
3. ORIGINAL SIGNED ASSIGNMENT DOCUMENT;
4. CHECK IN THE AMOUNT OF \$40.00; AND
5. POSTCARD.

in the above identified application.

- ☐ No additional fee is required.
- ☒ A check in the amount of \$40.00 is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 16-1331 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.


Signature

Dated: 7/11/01

HANA VERNY (REG. NO. 30,518)
PETERS, VERNY, JONES & BIKSA LLP
385 SHERMAN AVENUE, SUITE 6
PALO ALTO, CA 94306
TELEPHONE: (650)324-1677
FACSIMILE: (650)324-1678

I certify that this document and fee is being deposited on
Jul 11, 2001 with the U.S. Postal Service as first
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Assistant Commissioner for Patents, Washington, D.C.
20231.


Signature of Person Mailing Correspondence

HANA VERNY (REG. NO. 30,518)

Typed or Printed Name of Person Mailing Correspondence

CC:

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Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on December 3, 2003

By Juliana Hermes
Juliana Hermes, QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.

Attorney Docket No. 407J-000700US
Client Reference No.: 199-069-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Lisle W. George, et al.

Application No.: 09/884,696

Filed: June 19, 2001

For: MORAXELLA BOVIS CYTOTOXIN,
CYTOXIN GENE, ANTIBODIES AND
VACCINES FOR PREVENTION AND
TREATMENT OF MORAXELLA BOVIS
INFECTIONS

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Examiner: Virginia Allen Portnet

Art Unit: 1645

SUBMISSION OF POWER OF
ATTORNEY OR AUTHORIZATION OF
AGENT, NOT ACCOMPANYING
APPLICATION

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Sir:

Please make of record the following enclosed documents regarding the above-identified application:

- 1) Power of Attorney or Authorization of Agent, Not Accompanying Application
- 2) Statement Under 37 CFR 3.73(b)
- 3) Copy of Recorded Assignment.

Respectfully submitted,

Jonathan Alan Quine

Jonathan Alan Quine, J.D., Ph.D.
Reg. No. 41.261

QUINE INTELLECTUAL PROPERTY LAW GROUP, P.C.
P.O. BOX 458
Alameda, CA 94501
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UNITED STATES DEPARTMENT OF COMMERCE
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Washington, D.C. 20231

SEPTEMBER 24, 2001

PTAS

PETERS, VERNY, JONES & BIKAS LLP
HANA VERNY
385 SHERMAN, AVENUE, SUITE 6
PALO ALTO, CA 94306



101784129A

TO BE DOCKETED

OCT 3 2001

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/16/2001

REEL/FRAME: 011985/0372
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
GEORGE, LISLE W.

DOC DATE: 06/22/2001

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ASSIGNOR:
ANGELOS, JOHN A.

DOC DATE: 06/22/2001

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ASSIGNOR:
HESS, JOHN F.

DOC DATE: 06/22/2001

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ASSIGNEE:
REGENTS OF THE UNIVERSITY OF
CALIFORNIA, THE
1111 FRANKLIN STREET, FIFTH FLOOR
OAKLAND, CALIFORNIA 94607-5200

SERIAL NUMBER: 09884696
PATENT NUMBER:

FILING DATE: 06/19/2001
ISSUE DATE:

011985/0372 PAGE 2

TARA WASHINGTON, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS



07-20-2001

cket No.: 481.06 (HV)

ET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



101784129

Tab settings

To the Honorable Commissioner of Pat

Record the attached original documents or copy thereof.

1. Name of conveying party(ies):

LISLE W. GEORGE
JOHN A. ANGELOS
JOHN F. HESS

7-1601

2. Name and address of receiving party(ies):

Name: THE REGENTS OF THE UNIVERSITY OF

Address: CALIFORNIA

1111 FRANKLIN STREET, FIFTH FLOOR

City: OAKLAND

State/Prov.: CA

Country: USA

ZIP: 94607-5200

Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: JUNE 22, 2001

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

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Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: HANA VERNY

Registration No. 30,518

Address: PETERS, VERNY, JONES & BIKSA LLP

385 SHERMAN AVENUE, SUITE 6

City: PALO ALTO

State/Prov.: CA

Country: USA

ZIP: 94306

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

16-1331

07/19/2001 DBYRHE 00000209 09884696

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

HANA VERNY

Name of Person Signing

Signature

Date

3

Total number of pages including cover sheet, attachments, and document:

ASSIGNMENT

U.C. Case No. 99-069-1

Attorney Docket No. 481.06 (HV)

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. LISLE W. GEORGE

2. JOHN A. ANGELOS

3. JOHN F. HESS

4. _____

hereby sell(s), assign(s) and transfer(s) to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, Fifth Floor, Oakland, CA 94607-5200, and the successor, assigns and legal representatives of the ASSIGNEE all of its/their right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

MORAXELLA BOVIS CYTOTOXIN, CYTOTOXIN GENE, ANTIBODIES AND VACCINES FOR PREVENTION AND TREATMENT OF MORAXELLA BOVIS INFECTIONS

and which is found in

(a) _____ U.S. patent application executed on _____, entitled as above and listing the above named person(s) as inventor(s).

(b) _____ U.S. application serial no. _____, filed on _____

(c) _____ U.S. Patent No.: _____, issued _____

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenant(s) that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this agreement;

ASSIGNOR(S) further covenant(s) that ASSIGNEE, will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) request(s) the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

22 day of June, 2001

22 day of June, 2001

22 day of June, 2001

Signature of Inventor(s)

Lisle W. George
LISLE W. GEORGE

John A. Angelos
JOHN A. ANGELOS

John F. Hess
JOHN F. HESS